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TRANSMITTAL FORM <i>(to be used for all correspondence during pendency of filed application)</i>		Application Number	10/727,699
		Filing Date	December 3, 2003
		First Named Inventor	Avetik Harutyunyan
		Group Art Unit Number	1754
		Examiner Name	Rebecca M. Stadler
Total Number of Pages in This Submission	5*	Attorney Docket Number	23085-07810

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SIGNATURE OF ATTORNEY OR AGENT			
Signature:	<i>Narinder Banait</i>		
Attorney/Reg. No.:	Narinder S. Banait; Reg. No.: 43,482	Dated:	Dec 14/2005

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Avetik Harutyunyan
APPLICATION NO.: 10/727,699
FILING DATE: December 3, 2003
TITLE: Systems and Methods for Production of Carbon Nanostructures
EXAMINER: Rebecca M. Stadler
GROUP ART UNIT: 1754
ATTY. DKT. NO.: 23085-07810

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:

Dated: December 15/2005 By: Narinder S. Banait
Narinder S. Banait, Reg. No.: 43,482

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INFORMATION DISCLOSURE STATEMENT

Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- ☒ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;
- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;

- ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR §1.113; or (2) an action that otherwise closes prosecution in the application, and:
- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
 - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- ☐ on or before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; (2) a notice of allowance under 37 CFR § 1.311; or (3) an action that otherwise closes prosecution in the application, and:
- ☐ Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained

in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

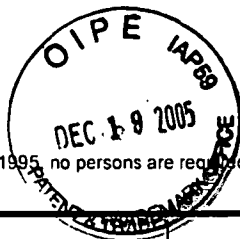
- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(d); OR
- ☐ after the payment of the issue fee. Applicant requests that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- ☐ Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.
- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. _____, filed on _____, and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- ☒ This application was filed after June 30, 2003. Therefore, pursuant to the waiver of the requirements under 37 CFR 1.98(a)(2)(i), copies of each U.S. Patent and each U.S. Patent Application Publication are not required to be submitted. Copies of any foreign patent documents and non-patent literature cited herein are enclosed.
- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- ☒ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,
AVETIK HARUTYUNYAN

Dated: December 15/2005

By: Narinder S. Banait
Narinder S. Banait; Reg. No.: 43,482
Fenwick & West LLP
801 California Street
Mountain View, CA 94041
Tel.: (650) 335-7818
Fax: (650) 938-5200



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PTO/SB/08A (10-01)

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application No.	10/727,699
Filing Date	December 3, 2003
First Named Inventor	Avetik Harutyunyan
Art Unit	1754
Examiner Name	Rebecca M. Stadler
Attorney Docket Number	23085-07810

Sheet

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document No. Number - Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
/EMJ/	A1	US 2003/0161782 A1	08-28-2003	Kim
/EMJ/	A2	US 2004/0062992 A1	04-01-2004	Kajiura et al.

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶
/EMJ/	B1	WO 02/070405 A	09-12-2002	Sony Corporation Abstract Only	X

OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁶
/EMJ/	C1	ANDREWS, R. et al., "Continuous Production of Aligned Carbon Nanotubes: A Step Closer to Commercial Realization", Chemical Physics Letters, April 16, 1999, pp. 467-474, Vol. 303, No. 5-6.	
/EMJ/	C2	MAYNE, M. et al., "Pyrolytic Production of Aligned Carbon Nanotubes From Homogenously Dispersed Benzene-Based Aerosols", Chemical Physics Letters, April 20, 2001, Vol. 338, pp. 101-107, Amsterdam, Netherlands.	
/EMJ/	C3	MOISALA, A. et al., "The Role of Metal Nanoparticles in The Catalytic Production of Single-Walled Carbon Nanotubes - a review", Journal of Physics: Condensed Matter, March 10, 2003, pp. 3011-3035, Vol. 15.	
/EMJ/	C4	PCT International Search Report and Written Opinion; PCT/IB2004/004454, November 17, 2005. 15 pages.	
/EMJ/	C5	SONGSASEN, A. et al., "Preparation of Carbon Nanotubes by Nickel Catalyzed Decomposition of Liquefied Petroleum Gas (LPG)", Kasetsart University, Bangkok, Thailand, 2001, pp. 354-359, Vol. 35, No. 3.	

Examiner Signature	/Edward M. Johnson/ (04/02/2007)	Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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